## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit: 3691

3691

Examiner

Bruce I. Ebersman

In re application of

METHODS AND SYSTEMS FOR PROCESSING AND MANAGING

PROCESSING AND MANAGING CORPORATE ACTION INFORMATION

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Serial No.: 10/631,243

Filing Date: July 31, 2003

Seaman et al

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## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

September 18, 2009

Mail Stop: RCE

Commissioner for Patents

P.O. Box 1450 Alexandria, VA 22313-1450

## Dear Commissioner

Applicants, in accordance with the duty of disclosure pursuant to 37 C.F.R. 
§ 1.56, hereby advise the United States Patent and Trademark Office of the references listed on the accompanying forms PTO/SB/08A and PTO/SB/08B Information Disclosure Statement by Applicant (Substitute for Forms-1449A/PTO and 1449B/PTO). With the exception of the United States patent documents, a copy of each reference cited on the Information Disclosure Statement by Applicant is enclosed.

Applicants note that although the cited references may be relevant to the examination of the above-referenced patent application, under 37 C.F.R. § 1.97(h), the filing of this Information Disclosure Statement by Applicant "shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56(b)."

Respectfully submitted,

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